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WASHINGTON, D.C. 2023I

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

www.uspto.gov
ATTORNEY DOCKET NUMBER

10/003,693

11/02/2001

Thomas A. Berson

FUSN1-01300US1

CONFIRMATION NO. 2539

FORMALITIES LETTER

OC00000007249699

William J. Harmon, III Vierra Magen Marcus Harmon & DeNiro, LLP Suite 540 685 Market Street San Francisco, CA 94105-4206

Date Mailed: 01/02/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$414.
 - \$414 for 23 total claims over 20.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1284.

03/05/2002 SDENBOB1 00000029 10003693

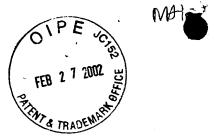
01 FC:101 740.00 OP 02 FC:103 414.00 OP 03 FC:105 130.00 OP

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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10/003,693 Application Number TRANSMITTAL November 2, 2001 Filing Date **FORM** Berson First Named Inventor Group Art Unit (to be used for all correspondence after initial filing) 2131 **Examiner Name** FUSN1-01300US1 Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) **Provisional Application** Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer **Express Abandonment Request** Request for Refund Declaration for Patent Application Recordation Form Cover Sheet Information Disclosure Statement CD, Number of CD(s). Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm William J. Harmon, III, Vierra Magen Marcus Harmon & DeNiro LLP Individual name Signature Date terrany 11,2002 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on this date: February 11, 2002 William J. Harmon, III, Reg. No. 40,635 Typed or printed name Signature Date

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| (\$) 1,324. | . 00 | |
|-------------|------|--|
|-------------|------|--|

| Complete if Known | | | |
|----------------------|------------------|--|--|
| Application Number | 10/003,693 | | |
| Filing Date | November 2, 2001 | | |
| First Named Inventor | Berson | | |
| Examiner Name | | | |
| Group Art Unit | 2131 | | |
| Attorney Docket No. | FUSN1-01300US1 | | |

| METHOD OF PAYMENT | METHOD OF PAYMENT FEE CALCULATION (continued) | | | |
|---|--|-------------|--|--|
| 1. The Commissioner is hereby authorized to charge 3. ADDITIONAL FEES | | | | |
| indicated fees and credit any overpayments to: Deposit | Large Small | | | |
| Account 501826 | Entity Entity | | | |
| Number | Fee Fee Fee Fee Fee Description | Fee Paid | | |
| Deposit Account Name | 105 130 205 65 Surcharge - late filing fee or oath | 130.00 | | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | | | |
| Applicant claims small entity status. | 139 130 139 130 Non-English specification | | | |
| See 37 CFR 1.27 2. R Payment Enclosed: | 147 2,520 147 2,520 For filing a request for ex parte reexamination | | | |
| Check Credit card Money Order Other | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | | | |
| FEE CALCULATION | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | | | |
| 1. BASIC FILING FEE | 115 110 215 55 Extension for reply within first month | | | |
| Large Entity Small Entity | 116 400 216 200 Extension for reply within second month | | | |
| Fee Fee Fee Fee Description | 117 920 217 460 Extension for reply within third month | | | |
| 0000 (4) 0000 (4) | 118 1,440 218 720 Extension for reply within fourth month | | | |
| 740.00 | 128 1,960 228 980 Extension for reply within fifth month | | | |
| 106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee | 119 320 219 160 Notice of Appeal | | | |
| 108 740 208 370 Reissue filing fee | 120 320 220 160 Filing a brief in support of an appeal | | | |
| 114 160 214 80 Provisional filing fee | 121 280 221 140 Request for oral hearing | | | |
| | 138 1,510 138 1,510 Petition to institute a public use proceeding | | | |
| SUBTOTAL (1) (\$) 740.00 | 140 110 240 55 Petition to revive - unavoidable | | | |
| 2. EXTRA CLAIM FEES | 141 1,280 241 640 Petition to revive - unintentional | | | |
| Fee from Extra Claims below Fee Paid | 142 1,280 242 640 Utility issue fee (or reissue) | | | |
| Total Claims 43 -20** = 23 X 18.00 = 414.00 | 143 460 243 230 Design issue fee | | | |
| Independent 3 - 3** = 0 X 84.00 = 0.00 | 144 620 244 310 Plant issue fee | | | |
| Multiple Dependent = 0.00 | 122 130 122 130 Petitions to the Commissioner | | | |
| | 123 50 123 50 Processing fee under 37 CFR 1.17(q) | | | |
| Large Entity Small Entity Fee Fee Fee Fee Description | 126 180 126 180 Submission of Information Disclosure Stmt | | | |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 | 581 40 581 40 Recording each patent assignment per property (times number of properties) | 10.00 | | |
| 102 84 202 42 Independent claims in excess of 3 | 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| 104 280 204 140 Multiple dependent claim, if not paid | 149 740 249 370 For each additional invention to be | | | |
| 109 84 209 42 ** Reissue independent claims over original patent | examined (37 CFR § 1.129(b)) | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 | 179 740 279 370 Request for Continued Examination (RCE) | | | |
| and over original patent | 169 900 169 900 Request for expedited examination of a design application | | | |
| SUBTOTAL (2) (\$) 414.00 Other fee (specify) | | | | |
| **or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170.00 | | | | |

| SUBMITTED BY | | | | Complete (if a | Complete (if applicable) | |
|-------------------|------------------------|--------------------------------------|--------|----------------|--------------------------|--|
| Name (Print/Type) | William J. Harmon, III | Registration No. (Attorney/Agent) | 40,635 | Telephone | 415.369.9660 | |
| Signature | Will Hour | Th | | Date | February 11, 2002 | |

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